



開盤證券有限公司

OPEN SECURITIES LIMITED

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客戶交易委託書 Client Trading Order Form

日期 Date: _____

客戶名稱 Account Name	戶口號碼 Account No.
聯絡電話 Contact No.	電郵地址 Email Address

交易產品 Product:

<input type="checkbox"/> 可轉換公司債 ECB	<input type="checkbox"/> 海外存託憑證 DR	
<input type="checkbox"/> 債券 Bond	<input type="checkbox"/> 結構型商品 Note	<input type="checkbox"/> 基金 Fund

☐ 申購 Buy

產品名稱 Product Name	代碼 ISIN Code	數量 Quantity	金額 Amount	貨幣 Currency	價格 Trading Price

☐ 贖回 Sell

產品名稱 Product Name	代碼 ISIN Code	數量 Quantity	金額 Amount	貨幣 Currency	價格 Trading Price

聲明 Declaration

本人/吾等確認 I/We confirm that:

- 本人/吾等已收取本人/吾等以此交易委託書所指示交產品(“產品”)的發行章程及/或有關銷售文件(“銷售文件”)(如適用), 並已閱讀及完全明白有關銷售文件內所載之條款及細則。
本人/吾等明白首次及其後認購及持有產品均會受銷售文件內所載之條款及條件約束。
I/We have received a copy of the offering document and/or relevant sales document of the product (“Sales Document”)(if any) which I/we instruct to trade in this order form (“Product”), and I/we have read and fully understand the terms and conditions of the Sales Document. I/We understand that the initial and subsequent subscription and holding of the Product will be subject to the terms and conditions of the Sales Document.
- 就本人/吾等認購及持有產品, 本人/吾等承諾均與任何時間內遵守銷售文件內所載之條款及細則(如適用)及貴公司的規定。
I/We undertake to comply with all the terms and conditions of the Sales Document (if any) and all the requirements of Open Securities Limited at all times in relation to any subscription and holding of the Product.
- 本人/吾等完全瞭解 I/We fully understand:
 - 產品的性質及風險;
the nature and risks of the Product;
 - 有關交易的條款, 包括但不限於有關價格、年期、屆滿日期及就交易而言屬重大的其他條款; 及
the details of the transaction, including but not limited to the terms as to price, term, expiration date and other terms material to the transaction; and
 - 在何種情況下本人/吾等可能須交付或交收交易的相關權益。
the circumstances under which I/We may become obligated to make or take delivery of the underlying interest of the transaction.
- 除已獲得貴公司的同意外, 所有已給予的指示均都不能更改、撤銷或撤回。
All the instructions given may not be amended, rescinded or withdrawn without the consent of Open Securities Limited.
- 本人/吾等確認已按照本人/吾等選擇的語言於開戶時或本人/吾等首次認購產品時獲得風險披露聲明及已獲邀閱讀該風險披露聲明、提出問題及徵求獨立的意見。
I/We acknowledge that the Risk Disclosure Statements were provided in a language of my/our choice when I/We applied for the Account Opening or when I/We first traded the Product and I/we was/were invited to read the Risk Disclosure Statement, to ask questions and take independent advice.

客戶簽署 Client's Signature(s)

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S.V. By	Inputted By	Checked By	Approved By