



# 開盤證券有限公司

OPEN SECURITIES LIMITED

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日期 Date:

## 部位轉移指示

### Position Transfer Instruction

客戶名稱 Account Name	戶口號碼 Account No.
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#### 指示 Instruction:

- 請於工作天香港時間上午11:00前將本表格傳真至以下傳真號碼。在截數時間後或是在星期六、日及公眾假期遞交的客戶指示將在隨後的一個工作天辦理。  
Please fax or email this form to the fax no. or email address below before 11:00 HK time on a business day. Requests made after the cut-off time or Saturday, Sunday and public holidays will be processed on the next business day. (Fax No.: (852) 2573-4785)
- 此指令將於生效日期或之後的適當日期交收。  
Settlement of this request will take place on or after the Value Date where applicable.
- 客戶於簽署本表格後，即表示客戶聲明股票的實益擁有權不會因本交收指示的執行而更改。  
By signing this form, customer declares that there is no change of beneficial ownership through effecting this stock transfer.

#### 指示詳情 Instruction Details

*指示類別 Instruction Type	<input type="checkbox"/> 存入 Deposit <input type="checkbox"/> 提取 Withdrawal	生效日期 Value Date
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☐ 港上市股票 Hong Kong Listed Securities

☐ 電子股票 Scripless

☐ 實物股票 Physical Form

結算所參與者/投資者戶口名稱 CCASS Investor/Participant	結算所參與者/投資者戶口號碼 CCASS/ISI Account No.			
聯絡人 Contact Person	聯絡電話 Contact Tel.			
目的 Purpose	C / M	交收戶口 STM A/C	01 / 04 / 05	戶口名稱 A/C Name
交收方法 Settlement Method	<input type="checkbox"/> 無須付款 Free Of Payment	<input type="checkbox"/> 貨銀對付 Delivery Versus Payment:		

☐ 外國股票/結構性產品/債券/基金 Foreign Securities/Structure Products/Bonds/Funds

☐ 電子股票 Scripless

☐ 實物股票 Physical Form

交易對手名稱 Counterparty name	交易對手編號 Clearstream/Euroclear/DTC/CMU Details	
聯絡人 Contact Person	聯絡電話 Contact Tel.	
交收方法 Settlement Method	<input type="checkbox"/> 無須付款 Free Of Payment	<input type="checkbox"/> 貨銀對付 (需填寫金額) Delivery Versus Payment :

#### 交收詳情 Settlement Details (如行數不足，請填寫補充附頁。 Please fill in supplementary page if insufficient rows.)

證券代號 Securities Code	代碼 ISIN Code	證券名稱 Securities Name	數量 Quantity	只適用於貨銀對付的交收指令 For DVP Settlement only
				金額 Amount

附註 Remarks:

客戶簽署 / 獲授權代表簽署 Client's Signature(s) / Authorized Signature(s)

For Official Use Only

S.V. By:	Inputted By:	Checked By:	Approved By:
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